

# London Brookes College Examinations Policy

Full name of policy:	Examinations Policy
Requirement for policy:	To comply with Awarding Body requirements
Name and post of person responsible:	Yash Preet Examinations Officer
Highest College body approving the policy:	Draft to be submitted to College Leadership Team
Date of approval:	August 2021
Frequency of review:	Annually
Dates of previous reviews:	August 2022
Date of next formal review:	August 2024
Equality Impact Screening:	Completed, access arrangements for exams ensure equality of opportunity for all learners
Equality Impact Assessment: (If required)	
Policy Reference:	N/A
Comments:	<ul> <li>This policy should be read in conjunction with:</li> <li>Specific Awarding Body requirements and guideline</li> </ul>





# The purpose of this exam policy is:

- to ensure the planning and management of exams is conducted efficiently and in the best interest of candidates.
- to ensure the operation of an efficient exam system with clear guidelines for all relevant staff.

It is the responsibility of everyone involved in the Centre's exam processes to read, understand and implement this policy.

London Brookes College is committed to actively promoting equality of opportunity in everything that it does and to ensuring that differences between all of our learners and staff are valued and respected.

This exam policy will be reviewed annually.

# 1. Exam responsibilities

*Principal: Overall responsibility for the College as an Exam Centre. Delegated responsibilities to Examinations Officer and Deputy Examinations Officers to:* 

- Advise on appeals and re-marks.
- *Report all suspicions or actual incidents of malpractice. Refer to the JCQ document suspected malpractice in examinations and assessments.*

# 1.1. Exams Officer/Deputy Exams Officers

Manage the administration of public and internal exams and analysis of exam results:

- Advise the College Leadership Team, Curriculum Leaders, Subject Tutors and other relevant support staff on annual exam timetables and application procedures as set by the various Awarding Organisations.
- Contribute to the production and distribution to staff, and candidates of an annual calendar for all exams in which candidates will be involved and communicate regularly with staff concerning imminent deadlines and events.
- Ensure that candidates are informed of and understand those aspects of the exam timetable that will affect them.
- Consult with Teaching Staff to ensure that necessary coursework is completed on time and in accordance with JCQ guidelines.
- Provide and confirm detailed data on estimated entries.
- *Receive, check and store securely all exam papers and completed scripts.*
- Administer access arrangements and make applications for special consideration using the JCQ Access arrangements and special considerations regulations and Guidance relating to candidates who are eligible for adjustments in examinations.
- Identify and manage exam timetable clashes.
- Account for income and expenditures relating to all exam costs/charges.
- Line manages the invigilation team; their recruitment and training. Monitor the whole invigilation team responsible for the conduct of exams.
- Submit candidates' coursework marks, track despatch and store returned coursework and any other material required by the appropriate awarding organisations correctly and on schedule.
- Arrange for dissemination of exam results and certificates to candidates and forward appeals/remark requests.



- Maintain systems and processes to support the timely entry of candidates for their exams together with the recording of any achievement.
- Implement this policy in accordance with all other College policies, procedures and regulations

# 1.2. Lead Invigilator/Invigilators

- Check the examination room prior to the arrival of candidates to ensure that:
  - *Heating, lighting, ventilation and levels of extraneous noise are acceptable.*
  - $\circ$  No display materials that might be helpful to candidates are visible.
  - A reliable clock of readable size is visible to each candidate.
  - Ensure notices are displayed in accordance with JCQ regulations.
  - The seating arrangements prevent candidates, intentionally or otherwise, from overseeing the work of others.
- Be fully aware of the regulations according to "The Instructions for Conducting Examinations".
- Ensure that a signed record is kept of the seating and invigilation arrangements for any examination session that they participate in.
- Carry out checks on the identity of candidates on their arrival.
- Take all reasonable steps to ensure that:
  - The official examination stationery is issued to candidates and that no other stationery, including paper for rough work, is provided.
  - Candidates take into the examination room only those articles, instruments or materials which are expressly permitted.
  - *Candidates have all the necessary material provided by the Awarding Organisation to enable them to complete the examination.*
- Open the packet of examination papers and issue the papers to candidates.
- *Give clear instructions to candidates about the conduct of the examination to ensure that they fully understand what they are required to do.*
- Supervise the candidates throughout the whole time the examination is in progress, and give complete attention at all times to this duty.
- Complete the Attendance Register during the examination and inform the Exams Office of any absentees.
- Know the actions to be taken in the event of an emergency such as an emergency evacuation.
- At the end of the examination, to collate all scripts in candidate number order and ensure that they are handed to the correct person.
- Collect all unused stationery in the examination room and return it to the Exams Office.
- Ensure that the room is left in a tidy condition.
- Work to promote and apply the College's safeguarding policy and procedures.

# 1.3.Candidates

- Check personal exam entries on receipt of timetable by email and inform the Exams Office of any discrepancies.
- Understand coursework regulations and sign the relevant declaration that authenticates the coursework as their own.
- Take responsibility for compliance with Awarding Organisation and JCQ regulations with respect to coursework, controlled assessment, written examinations, and online tests.



- Pay for any re-sits in the specified period.
- Attend all timetabled assessments.

# 2. The qualifications offered

The qualifications offered at this Centre are decided by the College Leadership Team.

# 3. Exam seasons, timetables and clashes

### 3.1 Exam seasons

Teachers have responsibility for giving the Exams Office the appropriate notice period for scheduling of internal exams which contribute to overall assessment. External exams are scheduled throughout the academic year and on demand with the appropriate notice adhered to. Teachers must liaise with Exams Office to oversee and plan the scheduling of exams for their area. This includes decisions on exams series. All internal exams are held under external exam conditions.

# 3.2 Timetables

The Exams Office will send exam timetables / Statement of Entry to all external exams to candidates. Allocation of Invigilators will be the responsibility of the Exams Office.

# 4. Entries, entry details, late entries and re-sits

# 4.1 Entries

Normally candidates are selected for their exam entries by the Teachers. However, a candidate has the right to request a subject entry, change of level or withdrawal but there would be an expectation that this would be following a discussion with their Subject Teacher.

The Centre acts as an Exam Centre for other organisations upon request.

# 4.2 Entry details

All individual candidate statements of entry will be emailed to the candidate email accounts. The Exams Office will accept withdrawals, amendments and changes of tier up to the dates set by the Awarding Organisations. These deadlines are available from the Exams Office or the Awarding Organisation websites.

# 4.3 Late entries

Late entries are authorised by the Exams Office.

The deadlines for late entries are available from the Exams Office or the Awarding Organisation websites. Under extreme circumstances very late entries will be accepted but these will be subject to a fee levied by the Awarding Organisation.

# 4.4 Re-sits

Re-sit decisions are made in consultation with the Teacher, Exams Office and the candidate.

# 5. Exam fees

*Exam fees are paid for by individual candidates.* Late entry or amendment fees are paid by whoever is responsible for the need to make the change. Re-sit fees are paid by the candidates. This also applies to candidates re-sitting a year already taken and taking units that they sat previously.

(See also section 4.4: Re-sits)



# 6. The Disability and Equality Act, special needs and access arrangements

# 6.1 Disability and Equality Act

The Disability and Equality Act 2010 extends the application of the Disability Equality Duty in the DDA to general qualifications. All Exam Centre staff must ensure that access arrangements and special consideration regulations and guidance are consistent with the law.

### 6.2 Special needs

The Learning Support department will inform Subject Tutors of candidates with special educational needs. The Manager can then inform individual staff of any special arrangements that candidates may be granted during the course and in the exam.

#### 6.3 Access arrangements

Candidates who may require access arrangements are identified during the admissions process or enrolment.

Teachers identifying candidates who may require access arrangements after the start of the course should contact the Exams Office at the earliest opportunity.

Applying for access arrangements via the JCQ AAO tool is the responsibility of the Exams Office.

### 7. Managing Invigilators and exam days

### 7.1 Managing Invigilators

External Invigilators will be used for all exams apart from some internally assessed components/controlled assessment.

The recruitment of Invigilators is the responsibility of the Exams Office. Invigilators are timetabled and briefed by the Exams Office. Invigilators' rates of pay are set by the College.

# 7.2 Exam days

The Exams Office or designated Rooms/Timetable staff will book all exam rooms after liaison with other users.

The Exams Office are responsible for setting up the allocated rooms. The lead invigilator will start all exams in accordance with JCQ guidelines. In practical exams Subject Teachers may be on hand in case of any technical difficulties. Exam papers must not be read by Subject Teachers or removed from the exam room before the end of a session.

#### 8. Candidates, clash candidates and special consideration

#### 8.1 Candidates

Candidates must provide identification in the form of their student ID card, passport or driving licence. JCQ rules on candidates' use of mobile phones and all electronic devices apply at all times.

Normal Centre rules on dress and behaviour apply.

Candidates' personal belongings remain their own responsibility and the Centre accepts no liability for their loss or damage.

Disruptive candidates are dealt with in accordance with JCQ guidelines.

Candidates will not be allowed to leave the exam room until the published finishing time.

The Exams Office staff will attempt to contact any candidate who is not present at the start of an exam and deal with them in accordance with JCQ guidelines.



Students are personally responsible for providing their own equipment for their exams. The Exams Office provide a minimal amount of 'spare' equipment in each venue but this will only be available to students who have a problem with their own equipment (e.g., breakages during the exam).

# 8.2 Clash candidates

The supervision of candidates between exams is the responsibility of the Exams Office. In cases of overnight supervision candidates need to complete the appropriate documentation in accordance with JCQ regulations.

# 8.3 Special consideration

Should a candidate be too ill to sit an exam, suffer bereavement or other trauma or be taken ill during the exam itself, then it is the candidate's responsibility to alert the College, Exams Office or the Exam Invigilator, to that effect.

The candidate must support any special consideration claim with appropriate evidence within seven days of the exam, for example a letter from the candidate's doctor.

If the College supports the application the Exams Office will then forward a completed special consideration form to the relevant Awarding Organisation within the JCQ's recommended deadlines.

# 9. Coursework and appeals against internal assessments

# 9.1 Coursework

Candidates who have to prepare coursework should do so by the required date.

The Curriculum Leaders/Managers will ensure all coursework is marked/internally verified in line with Awarding Organisation requirements. Teachers have the responsibility to ensure that appropriate quality assurance procedures are followed to include internal verification of assessed work. Teachers will ensure that all internal verification is completed by college internal deadlines. The Exams Office will ensure that the work is despatched at the correct time and that a record of what has been sent, when and to whom is maintained.

Marks for all internally assessed work are provided to the Exams Office by the Teachers. These should be received by the Exams Office in order to meet the internal Exams Office Deadline.

# 9.2 Appeals against internal assessments

The Centre is obliged to publish a separate policy on this subject, which is available from the Exams Office. The main points are:

- Appeals can only be made in relation to the process leading to an assessment. There is no appeal against the assessment decision i.e., the mark or grade awarded.
- Candidates may appeal if they feel their coursework has been assessed unfairly, inconsistently or not in accordance with the specification for the qualification.
- In the first instance the student should raise any concerns about the assessment process with the teacher.
- If the student remains concerned having spoken to their teacher, they should contact their Personal Tutor or a nominated person
- The tutor or nominated person will ask the student to make a written appeal which will be submitted to the relevant Exam Officer. The grounds for the appeal must be clearly stated.
- The findings will be notified in writing, copied to the Head of Centre and recorded for Awarding Organisation inspection.



# 10. Results, enquiries about results (EARs) and access to scripts (ATS)

# 10.1 Results

All individual candidate statements of results for General Qualifications received during the course will be available to download from the College online portal and collected in person from the Exams Office if requested.

### **10.2 Enquiries About Results**

EARs for General Qualifications may be requested by Centre staff or candidates if there are reasonable grounds for believing there has been an error in marking. The Awarding Organisation's fee is payable by either the candidate or the curriculum area depending on who has requested the EAR.

#### **10.3 Access to Scripts** (applicable to General Qualifications only)

After the release of results of General Qualifications, candidates may request the return of papers within the Awarding Organisations' stated deadlines.

Where an Awarding Organisation supplies the requested script electronically, the Exams Office will send the document to the candidate's College email account if requested or uploaded on the online portal for candidate access.

If a result is queried, the Exams Office in conjunction with teaching staff or Head of Centre will investigate the feasibility of asking for a re-mark at the curriculum areas' expense. Otherwise the candidate will pay for the remark themselves. If there is a change in unit grade the candidate will receive a refund from the Exams Office.

Teachers may also request scripts for investigation or for teaching purposes. For the latter, the consent of candidates must be obtained.

(See also Section 5: Exam fees)

#### 11. Certificates

Certificates are posted or collected in person if a candidate request this.

Certificates may be collected on behalf of a candidate by a third party, provided they have been authorised in writing to do so, and provide their own identification.

Checked on 26th February 2023 by Yashpreet